

# Request for Sale of Restricted or Control Securities by Affiliates

Use this form to request a sale of restricted/control securities by an Officer, Director, or Control Person ("Affiliates").

## Helpful to Know

- If your sale requires an Irrevocable Stock or Bond Power, the original must be returned by mail.
- Restricted Shares: You must complete Section 1 and Section 6 in the same manner as the certificate registration.
- Control Shares: You must complete Section 1 and 6 in the same manner as the account registration.
- Type your information into this form and it will automatically be transferred to other required paperwork.

## Authorized agent/Advisor

Advisor Name CLARITY FINANCIAL LLC	G Number G 1 3 8 3 4 7 3 9
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## 1. Customer Information

Check one and provide the information. You must also sign this form and all others in the same manner that you complete the Name field in this section.

### Type of Shares you are selling:

<input type="checkbox"/> Restricted	Name(s) as shown on the Certificate
<input checked="" type="checkbox"/> Control	Name(s) on the Account FRAZIER 2022 GRAT

Security Name Company NINE ENERGY STOCK		
Symbol NINE	Number of Shares to be Sold 500,000	On which exchange are these shares traded?
Title of Class		

Relationship to Company: ☐ Officer ☐ Director ☒ Control Person/10% Shareholder

Have you purchased any shares of NINE in the past 6 months? ☐ Yes ☒ No

If the shares are held at the transfer agent, include a copy of the most recent statement.

### Location of Securities:

☒ In my account ☐ Shares held in book entry form at transfer agent  
☐ Other

Account Number at Transfer Agent
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## 2. Issuer Information

The required information can be obtained directly from the Company or from NASDAQ (<http://www.nasdaq.com>).

All information is required and can be found on the first page of the SEC 10-Q filing.

IRS Employer Identification Number 80-759121		Commission File Number 001-398347	
Address 2001 KIRBY DRIVE, SUITE 200			
City HOUSTON		State TX	Zip Code 77019
Phone 2 8 1 7 3 0 5 1 0 0		Shares Outstanding 33,221,266	

### 3. Acquisition Information

Complete the fields below to indicate how you acquired each lot of shares. If any of the acquisitions are gifts, indicate the date of the gift in the Date Acquired field. Attach an additional sheet if necessary.

Date Acquired	How Acquired	From Whom	Number of Shares	Payment Date	Nature of Payment
10/25/2018	Payment for service ren	NINE ENERGY	500.000	10/25/2018	Compensation

### 4. Securities Sold During the Past Three Months

Have you sold any of the securities in the past three months?

☒ No ☐ Yes If Yes, complete details below.

Attach an additional  
sheet if necessary.

Date of Sale	Share Amount Sold	Gross Proceeds
		\$
		\$
		\$
		\$

I have sold \_\_\_\_\_ shares of the Company within the preceding three (3) months and I have no sale orders open with any broker, and will not place any pending execution or cancellation of this order.

To the best of my knowledge, members of my immediate family and others with whom I am acting in concert or whose sales are required to be aggregated with sales by me pursuant to Rule 144 have sold \_\_\_\_\_ shares of the Company stock within the preceding three (3) months.

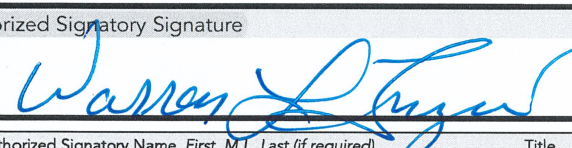
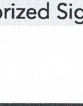
### 5. Company Contact Information

Company Counsel or contact to confirm shares are eligible for sale and trading window is open:

Name		Title	
GUY SIRKES		SENIOR VICE PRESIDENT AND CHEIF FINAI	
Phone		Email	
2 8 1 7 3 0 5 1 0 0			
Fax		Comments/Other Pertinent Information	

### 6. Signature(s) and Date(s) Form cannot be processed without signature(s) and date(s).

I understand that this form is a questionnaire, and other documents are required before processing occurs. I certify that every statement made above is true to the best of my knowledge.

Print Authorized Signatory Name First, M.I., Last		Title	
WARREN L FRAZIER			
Authorized Signatory Signature		Date MM - DD - YYYY	
		03/06/2023	
Print Authorized Signatory Name First, M.I., Last (if required)		Title	
Authorized Signatory Signature		Date MM - DD - YYYY	
			

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

**FORM 144**  
**NOTICE OF PROPOSED SALE OF SECURITIES**  
**PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933**

**ATTENTION:** Transmit for filing 3 copies of this form concurrently with either placing an order with a broker to execute sale or executing a sale directly with a market maker.

OMB APPROVAL OMB Number: 3235-0101 Expires: July 31, 2023 Estimated average burden hours per response ..... 1.00		SEC USE ONLY DOCUMENT SEQUENCE NO.	
CUSIP NUMBER		WORK LOCATION	
1 (a) NAME OF ISSUER (Please type or print) <b>NINE ENERGY STOCK</b>		(b) IRS IDENT. NO. <b>80-759121</b>	(c) S.E.C. FILE NO. <b>001-398347</b> CODE
1 (d) ADDRESS OF ISSUER <b>2001 KIRBY DRIVE, SUITE 200</b>		CITY <b>HOUSTON TX 77019</b>	
2 (a) NAME OF PERSON FOR WHOSE ACCOUNT THE SECURITIES ARE TO BE SOLD <b>FRAZIER 2022 GRAT</b>		RELATIONSHIP TO ISSUER	(c) ADDRESS STREET <b>2001 KIRBY DRIVE, SUITE HOUSTON TX 77019</b>
		STATE	ZIP CODE
		2 8 1 7 3 0 5 1 0 0	
		(e) TELEPHONE NO.	

INSTRUCTION: The person filing this notice should contact the issuer to obtain the I.R.S. Identification Number and the S.E.C. File Number.

3 (a) Title of the Class of Securities To Be Sold	(b) Name and Address of Each Broker Through Whom the Securities are to be Offered or Each Market Maker who is Acquiring the Securities	SEC USE ONLY		(c) Number of Shares or Other Units To Be Sold (See instr. 3(c))	(d) Aggregate Market Value (See instr. 3(d))	(e) Number of Shares or Other Units Outstanding (See instr. 3(e))	(f) Approximate Date of Sale (See instr. 3(f)) (MO. DAY YR.)	(g) Name of Each Securities Exchange (See instr. 3(g))
	FIDELITY BROKERAGE SERVICES LLC 245 SUMMER STREET BOSTON MA 02210	Broker-Dealer File Number		500,000	500,000	33,221,266	03/06/2023	NYSE

INSTRUCTIONS:

- (a) Name of issuer  
(b) Issuer's I.R.S. Identification Number  
(c) Issuer's S.E.C. file number, if any  
(d) Issuer's address, including zip code  
(e) Issuer's telephone number, including area code
- (a) Name of person for whose account the securities are to be sold  
(b) Such person's relationship to the issuer (e.g., officer, director, 10% stockholder, or member of immediate family of any of the foregoing)  
(c) Such person's address, including zip code
- (a) Title of the class of securities to be sold  
(b) Name and address of each broker through whom the securities are intended to be sold  
(c) Number of shares or other units to be sold (if debt securities, give the aggregate face amount)  
(d) Aggregate market value of the securities to be sold as of a specified date within 10 days prior to the filing of this notice  
(e) Number of shares or other units of the class outstanding, or if debt securities the face amount thereof outstanding, as shown by the most recent report or statement published by the issuer  
(f) Approximate date on which the securities are to be sold  
(g) Name of each securities exchange, if any, on which the securities are intended to be sold

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.



TABLE I — SECURITIES TO BE SOLD

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

Title of the Class	Date you Acquired	Nature of Acquisition Transaction	Name of Person from Whom Acquired (If gift, also give date donor acquired)	Amount of Securities Acquired	Date of Payment	Nature of Payment
	10/25/2018	Payment for service ren	NINE ENERGY	500,000	10/25/2018	Compensation

INSTRUCTIONS:

If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

TABLE II — SECURITIES SOLD DURING THE PAST 3 MONTHS

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

Name and Address of Seller	Title of Securities Sold	Date of Sale	Amount of Securities Sold	Gross Proceeds
2001 KIRBY DRIVE, SUITE 200 HOUSTON TX 77019	NINE ENERGY STOCK  N/A			

REMARKS:

INSTRUCTIONS:

See the definition of "person" in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

ATTENTION: The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

03/06/2023

DATE OF NOTICE

DATE OF PLAN ADOPTION OR GIVING OF INSTRUCTION,  
IF RELYING ON RULE 10b5-1

(SIGNATURE)

The notice shall be signed by the person for whose account the securities are to be sold. At least one copy of the notice shall be manually signed. Any copies not manually signed shall bear typed or printed signatures.

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)



# Rule 144 Seller's Representation Letter

## Affiliates of the Issuer

Brokerage Firm Name

Fidelity Brokerage Services LLC

### 1. Account Owner(s)

Fill out one entry field  
or the other.

Name(s) as Shown on the Certificate

Name(s) on the Account

FRAZIER 2022 GRAT

### 2. Certification

Number of Shares

500,000

Company Name

NINE ENERGY STOCK

In connection with the proposed sale or legend removal by me of the number of shares of Common Stock of the company indicated above ("the Company"), pursuant to the Securities Act of 1933, I hereby represent to you that:

- A. The Company is not, and has not been, a shell issuer in the last 12 months as described in Rule 144(i)(1).
- B. The Company is in compliance with the 1934 Act current public information reporting requirements contained in Rule 144(c)(1).
- C. I have not made, and will not make, any payments in connection with the execution of the above order to any persons other than my broker/dealer.
- D. I have not solicited or arranged for the solicitation of orders to buy in anticipation of or in connection with this transaction.
- E. I have sold the following number of shares of the Company within the preceding three (3) months and I have no sale orders open with any broker, and will not sell additional shares of the company pending execution of this order.

Number of Shares

- F. To the best of my knowledge, members of my immediate family and others with whom I am acting in concert or whose sales are required to be aggregated with sales by me pursuant to the rule have sold the

following number of shares of the Company stock within the preceding three (3) months:

Number of Shares

- G. In the event that any or all of the securities I am selling are restricted securities as defined in paragraph (a)(3) of Rule 144, I warrant that I have beneficially owned these securities for a period of at least six (6) months as computed in accordance with paragraph (d) of Rule 144.
- H. I have filed, or have caused to be filed, a duly executed Form 144 (and two copies) with the U.S. Securities and Exchange Commission, and, if applicable, a copy with the corresponding Securities Exchange in full compliance with Rule 144. The form is accurate and complete.
- I. I am an affiliate of the issuer, as that term is used in Rule 144(a)(1), and do not have any material information about the issuer of these securities that has not been publicly disclosed. If prior to the completion of the execution of this sale order I obtain any such information, I will forthwith notify you so that you may terminate my sales until after it has been publicly disclosed.

### 3. Signature(s) and Date(s) *Form cannot be processed without signature(s) and date(s).*

By signing below, I:

- Certify that I am familiar with Rule 144 of the Securities Act of 1933, as amended, and agree that you may rely upon the above statements in executing the order referred to above.
- Understand that you will not pay me the net proceeds of the sale made pursuant to this order until the certificates representing the securities sold have been transferred by the issuer or its transfer agent at the issuer's instructions to the purchasers or to you, for the

delivery to the purchaser, and until you have been paid in full by the buying brokers. I also understand at my risk and for my account that if for any reason the shares being sold cannot be transferred in a timely manner, you will be required to purchase shares in the open market to cover my sale. I hereby accept responsibility for any such buy-in and any deficit resulting therefrom.

- Declare I have answered the questions on this form honestly and to the best of my knowledge.

- Understand that Fidelity Brokerage Services LLC ("FBS") will use this information to assist me with selling these securities.
- Indemnify Fidelity and its officers, directors, employees, agents, affiliates, shareholders, successors, assigns and representatives from any liability in connection with following the instructions provided on this form.

Print Authorized Signatory Name First, M.I., Last

Title

WARREN L FRAZIER

Authorized Signatory Signature

Date MM - DD - YYYY

SIGN

03/06/2023

Print Authorized Signatory Name First, M.I., Last

Title

Authorized Signatory Signature

Date MM - DD - YYYY

SIGN

Fidelity Brokerage Services LLC, Member NYSE, SIPC

1.9864833.100 - 718883.1.0 (03/15)

1.9864833.100

033210001





Account Number
6 3 6 3 9 2 4 9 6
CID Number if known

# Irrevocable Stock or Bond Power

Use this form to allow Fidelity Brokerage Services LLC to facilitate the processing of your certificate(s). This form takes the place of an original signature on the certificate. Original form must be mailed to Fidelity. Type on screen or fill in using CAPITAL letters and blue ink. If you need more room for information or signatures, use a copy of the relevant page.

## 1. Registration

Provide the name(s) exactly as they appear on the certificate.

Name(s) as shown on the Certificate

Entity/Business/Trust Name

## 2. Irrevocable Stock or Bond Power for Value Received

The undersigned does (do) hereby sell, assign and transfer to the person listed the following attached stock or bond, as applicable.

Person or Entity Who Will Receive the Securities

The undersigned does (do) hereby irrevocably constitute and appoint National Financial Services LLC ("NFS"), as attorney-in-fact to transfer the said stock(s) or bond(s) as the case may be, on the books of said Company, with full power of substitution in the premises.

## 3. Signatures *Form cannot be processed without signatures.*

If the stock is registered in more than one name, all parties must sign below exactly as their name(s) appear on the certificate(s).

Print Account Owner/Trustee Name First, M.I., Last

WARREN L FRAZIER

Account Owner/Trustee Signature

SIGN

Print Additional Account Owner/Trustee Name First, M.I., Last

Additional Account Owner/Trustee Signature

SIGN

### For Fidelity Use Only

If stocks, complete this portion:

☐ Common Stock ☐ Preferred Stock

Number of Shares	Certificate Number
Name of Issuer	Certificate Number

If bonds, complete this portion:

Number of Bonds	Principal Amount Face Value	Certificate Number
	\$  .	
Name of Issuer Company or Municipality	Certificate Number	

MEDALLION SIGNATURE GUARANTEE

MEDALLION SIGNATURE GUARANTEE

Return the original form to Fidelity Investments, Attention: Banking Services, 100 Crosby Parkway, Mailzone KC1N, Covington, KY 41015

Fidelity Brokerage Services LLC, Member NYSE, SIPC

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